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Attorney's Docket No.: 17118-058002 / 2837B

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Number of pages including this page 10

Applicant : Grimes et al.
Serial No. : 10/098,690
Filed : March 15, 2002

Art Unit : 1723
Examiner : Sun U. Kim

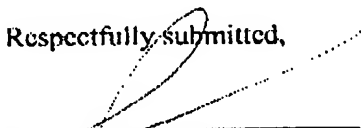
Title : System and Method For The Modification and Purification of Proteins

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is a *Revocation and New Power of Attorney by Assignee*, a *Certificate under 37 CFR§3.73(b) with attachments* and a *Change of Correspondence Address Application*, faxed this 15th day of September, 2006 to Commissioner for Patents, U.S. Patent and Trademark Office.

Respectfully submitted,

Date: September 15, 2006


Stephanie Seidman
Reg. No. 33,779

Fish & Richardson P.C.
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San Diego, California 92130
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 FISH & RICHARDSON P.C.

SUBSTITUTE PTO/SB/122(04-05)

CHANGE OF CORRESPONDENCE ADDRESS Application Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Application Number	10/098,690
	Filing Date	March 15, 2002
	First Named Inventor	Grimos et al.
	Art Unit	1723
	Examiner Name	Sun U. Kim
	Attorney Docket Number	17118-058002 / 2837B

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I am the :

- ☐ Applicant/Inventor.
☐ Assignee of record of the entire interest.
 Certificate under 37 CFR 3.73(b) is enclosed.
☒ Attorney or agent of record. Registration Number 33,779
☐ Registered practitioner named in the application transmittal letter in an application without an executed oath of declaration. See 37 CFR 1.33(a)(1). Registration Number 33,779

Signature

**Typed or Printed
Name** Stephanie Seldman

Date 9/15/2006 **Telephone** (858) 678-5070

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

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Attorney's Docket No.: 17118-058002/ 2837B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Grimes, et al. Art Unit : 1723
Serial No. : 10/098,690 Examiner : Sun U. Kim
Filed : March 15, 2002 Conf. No. : 6943
Title : SYSTEM AND METHOD FOR THE MODIFICATION AND
PURIFICATION OF PROTEINS

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 CFR § 3.73 (b)

Dear Sir:

RECEPTOR BIOLOGIX, INC., a corporation organized under the laws of the state of Delaware, United States of America, having a place of business at 1140 Veterans Blvd., Suite A, South San Francisco, CA 94080, is an Assignee of the entire right, title, and interest in the above-captioned patent application by virtue of:

A chain of title from the inventors of the patent application identified above, to the current assignee as shown below:

1. From **Stephen Grimes and Stephen L. Karr** to **Apton Corporation** recorded in the Patent and Trademark Office at Reel 007621, Frame 0832 on August 24, 1995 for parent application serial number 08/484,740 now patent number 6,359,114
2. From **Apton Corporation** to **Receptor Biologix, Inc.**, an assignment executed on August 8, 2006 and August 10, 2006, referencing application serial number 10/098,690.

A copy of the Patent Assignment Abstract of Title for parent application serial number 08/484,740 now patent number 6,359,114, as well as a copy of the executed assignment from Apton Corporation to Receptor Biologix, Inc. are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee.

Applicant : Grimes, et al.
Serial No. : 10/098,690
Filed : March 15, 2002
Page : 2 of 2

Attorney's Docket No.: 17118-058002/2837B

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

RECEPTOR BIOLOGIX, INC.

Date: September 12, 2006

By: Louis E. Burton
Louis E. Burton, Ph.D.

Title: Vice President of Process Sciences

USPTO Assignments on the Web

Page 1 of 1



United States Patent and Trademark Office

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Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 1**Patent #:** 6359114 **Issue Dt:** 03/19/2002 **Application #:** 08484740 **Filing Dt:** 06/07/1995**Inventors:** STEPHEN GRIMES, STEPHEN L. KARR JR.**Title:** SYSTEM FOR METHOD FOR THE MODIFICATION AND PURIFICATION OF PROTEINS**Assignment: 1****Reel/Frame:** 007621/0832**Recorded:** 08/24/1995**Pages:** 4**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignors:** GRIMES, STEPHEN**Exec Dt:** 08/09/1995

KARR, STEPHEN L. JR.

Exec Dt: 08/09/1995**Assignee:** APHTON CORP.

P.O. BOX 1049

WOODLAND, CALIFORNIA 95776

Correspondent: DIMITRIOS T. DRIVAS, ESQ.

WHITE & CASE

PATENT DEPARTMENT

1155 AVENUE OF THE AMERICAS

NEW YORK, NEW YORK 10036

Search Results as of: 08/07/2006 01:35 PM
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SEP 15 2006

APFTON ASSIGNMENT NO. 1 TO RECEPTOR BIOLOGIX

ASSIGNMENT

In consideration of good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned Assignor hereby assigns to:

RECEPTOR BIOLOGIX, INC., 1140 Veterans Boulevard, Suite A, South San Francisco, CA 94080, a corporation organized under the laws of Delaware (Assignee)

its successors, legal representatives, and assigns, the entire right, title and interest in and to all subject matter owned by the undersigned Assignor that is disclosed in the patents and patent applications listed in Exhibit A attached hereto.

The undersigned Assignor acknowledges that it has assigned, and transferred, and by these presents does hereby assign, and transfer, to Receptor Biologix, Inc., its successors, legal representatives, and assigns, the entire right, title, and interest, throughout the world, in, to, and under the subject matter, and the Patents and Patent Applications, and all Patents that may be granted thereon, and all divisions, continuations, continuation-in-parts, reissues, reexaminations, renewals, and extensions thereof, and all International Convention and Treaty rights of all kinds, in all countries throughout the world, including the right to file national and regional patent applications directly in the name of the Assignee and to claim for any such applications any priority rights to which such applications are entitled under International Conventions, Treaties or otherwise, and all applications for Letters Patent that may hereafter be filed for said subject matter or for Patents and Patent Applications in any country or countries foreign to the United States.

The undersigned Assignor hereby authorizes and requests the United States Commissioner for Patents, and any Official of any country foreign to the United States, whose duty it is to issue patents on applications, to issue all Letters Patent for the subject matter, as well as all Patents resulting from the Patent Applications, to the Assignee, its successors, legal representatives, and assigns, in accordance with the terms of this agreement.

The undersigned Assignor assigns, transfers, and conveys to Receptor Biologix, Inc., its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned that may have accrued prior to the date of assignment or that may accrue hereafter, including, but not limited to, the right to bring suit for, collect and retain damages for past infringements of the issued Letters Patent.

The undersigned Assignor warrants himself the owner, or a legal representative of the owner, of the entire right, title and interest in the subject matter and to have the right to make this assignment and further warrants that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned Assignor hereby agrees, upon the request and at the expense of the Assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit, or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said Assignee, its successors or assigns may reasonably deem necessary

APHTON ASSIGNMENT NO. 1 TO RECEPTOR BIOLOGIX

or expedient, and for the consideration, the undersigned Assignor authorizes Receptor Biologix, Inc., to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agrees, upon the request of said Assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned Assignor with said Assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of the preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the Assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said Assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned Assignor if this assignment had not been made.

ASSIGNOR

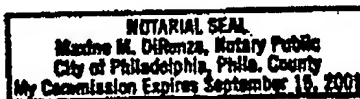
Signature: *Patrick T. Mooney*Printed: Patrick T. MooneyTitle: CEOFor: Apton Corp

Commonwealth
STATE OF Pennsylvania
COUNTY OF Philadelphia SS.

On August 10, 2006, before me, the undersigned, a notary public for the State of Pennsylvania, personally appeared PATRICK T. MOONEY, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person or the entity upon behalf of which the person acted executed the instrument.

WITNESS my hand and official seal.

Madame M. DiRenzo
Notary Public



APHTON ASSIGNMENT NO. 1 TO RECEPTOR BIOLOGIX

ASSIGNEE

Signature:

Printed:

Title:

For:

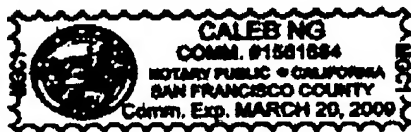
Thomas A. Glaze
THOMAS A. GLAZE
CEO
RECEPTOR BIOLOGIX, INC

STATE OF California)
) SS.
COUNTY OF San Mateo)

On August 8, 2006, before me, the undersigned, a notary public for the State of California, personally appeared Thomas A. Glaze personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person or the entity upon behalf of which the person acted executed the instrument.

WITNESS my hand and official seal.

Notary Public



APHTON ASSIGNMENT NO. 1 TO RECEPTOR BIOLOGIX

EXHIBIT A

PATENT NO. OR APPLICATION NO.	ISSUE DATE OR FILING DATE	TITLE	COUNTRY	ATTORNEY DOCKET NO.
10,095,690	3/15/2002	SYSTEM AND METHOD FOR THE MODIFICATION AND PURIFICATION OF PROTEINS	UNITED STATES	1102865-302 (W&C)